

















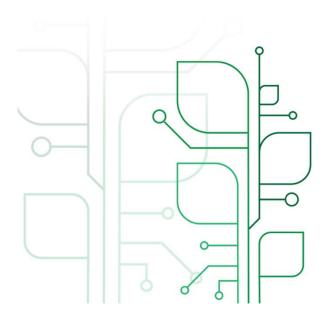
# **CONSULTANCY SERVICES FOR:**

# HIRING OF TECHNOLOGY AGENCY FOR THE CITIIS 2.0 PROGRAM

# **EXPRESSION OF INTEREST (EOI)**

Issue Date: 02-09-2024

**Closing Date: 25-09-2024** 



## **National Institute of Urban Affairs**

1 Floor, Core 4B India Habitat Centre
Lodhi Road, New Delhi –110003
(91-11) 24643284 24617543, 24617517, (91-11) 24617513



















## **Letter of Invitation**

New Delhi

02-09-2024

#### Dear Mr./Ms.:

- 1. City Investments To Innovate, Integrate and Sustain (CITIIS) 2.0, the second phase of the CITIIS program, has been conceived by MoHUA in collaboration with Agence Française de Développement (AFD), Kreditanstalt für Wiederaufbau (KfW), European Union (EU), and National Institute of Urban Affairs (NIUA) to supplement such climate initiatives of Government of India and MoHUA through its unique model. The program builds upon the learnings and successes of CITIIS 1.0 and is designed to supplement MoHUA's actions undertaken through ongoing national programs, while deepening the values of innovation, inclusion, and sustainability.
- 2. The National Institute of Urban Affairs (NIUA) (hereinafter called "Client") has been designated as the Program Management Unit (PMU) by the MoHUA for managing the CITIIS 2.0 program. The Client intends to apply a portion of the funds to eligible payments under the contract for which this Expression of Interest (EOI) is issued for selection of a Consulting Firm. The duration of the services is expected to extend up to 48 months depending upon the nature of the projects.
- 3. Consulting Firms (Bidder) with successful and high standard of achievements and proven experiences are invited to submit their EOIs as per the submission format annexed to this document.
- 4. Among the submitted EOI applications, the CITIIS PMU will shortlist upto six (6) Consulting Firms based on Quality of proposals (QBS).
- 5. Only shortlisted bidders will be issued Request for Proposal (RFP) Documents, including Terms of Reference (TOR) for the assignment and be invited to submit a detailed proposal. CITIIS-PMU expects to appoint one Consulting Firm in this bidding process. In accordance with Government of India Procurement Guidelines and AFD Procurement Guidelines, Quality cum Cost Based Selection (QCBS) mode of procurement will be adopted in the RFP.
- 6. The ceiling amount of the assignment will be Rs. 2 crore for period of 4 years, period can be extended by mutual consent between Client and Consultant.



















- 7. Eligibility criteria to AFD financing are specified in sub-clause 1.3 of the "Guidelines for the Procurement of the AFD-Financed Contracts in Foreign Countries" available online at www.afd.fr. Candidates are required to submit the "COVENANT OF INTEGRITY" as per the format provided as a part of their EOI.
- 8. The EOI shall be published on the NIUA website and e-published on CPPP. The EOI is free of cost for download. Bid Security / Earnest Money Deposit (EMD) is not required for the submission of the proposal. It is not permissible to transfer this invitation to any other Consultant.
- 9. Any queries in relation to the EOI to be sent prior to 11-09-2024 17:00 hr IST at the mail ID citiis@niua.org and the responses will be available online by 19-09-2024. For details refer to https://niua.in/tenders page and click on "CONSULTANCY SERVICES FOR: HIRING OF TECHNOLOGY AGENCY FOR THE CITIIS 2.0 PROGRAM". The responses to the queries will be also be provided on the same page.
- 10. The Consultant has to submit electronic copy as well as hardbound physical copy of the EOI as follows
  - EOI should be uploaded as electronic copy in English language only, not later than 1700 Hrs hours on 25-09-2024 at the NIUA website. For details on online submission, refer to https://niua.in/tenders page and click on "CONSULTANCY SERVICES FOR: HIRING OF TECHNOLOGY AGENCY FOR THE CITIIS 2.0 PROGRAM".
  - The physical copy of EOI should be submitted at the following address National Institute of Urban Affairs, Core 4B, 1st Floor, India Habitat Centre, New Delhi-110003 by 25-09-2024, 17:00 hr IST. The Check List for submission of documents should be adhered. Late submissions would be rejected.
- 11. To substantiate their credentials and to respond to any queries, the Consultants may be asked to make a presentation of their EOI, during the evaluation stage.
- 12. The issue of the EOI does not imply that the NIUA is bound to select bid(s), and it reserves the right without assigning any reason to
  - i. reject any or all of the bids, or
  - ii. cancel the tender process; or
  - iii. abandon the procurement process; or
  - iv. issue another bid for identical or similar work

Yours sincerely,

Director - NIUA

National Institute of Urban Affairs



















## **Terms of Reference**

### 1 Background

CITIIS 2.0 is a program conceived by the Ministry of Housing and Urban Affairs (MoHUA) in partnership with the French Development Agency (AFD), Kreditanstalt für Wiederaufbau (KfW), the European Union (EU), and National Institute of Urban Affairs (NIUA). The program envisages to support competitively selected projects promoting circular economy with focus on integrated waste management at the city level, climate-oriented reform actions at the State level, and institutional strengthening and knowledge dissemination at the National level. The funding for CITIIS 2.0 would include a loan of Rs.1760 crore (EUR 200 million) from AFD and KfW (EUR 100 million each) and a technical assistance grant of Rs.106 cr. (EUR 12 million) from the EU.

## 2 Objectives of CITIIS 2.0

- i. Foster climate-sensitive planning and action The program will nurture climate planning and action in States and cities through evidence-driven approaches.
- ii. Drive investments into urban climate action The program will provide financial assistance for competitively selected projects promoting circular economy with focus on integrated waste management.
- iii. Build institutional mechanisms, leverage partnerships and anchor capacity building The program will help put into place a climate governance framework at the State and City levels as well as provide a three-tier technical assistance structure with domestic, international, and transversal experts to support capacity development for climate action in cities and States.

#### CITIIS 2.0 has 3 components

- i. **Component 1**: City Level Action on Promoting Circular Economy with Focus on Integrated Waste Management
- ii. Component 2: State-Level Action on Strengthening Climate Governance Mechanisms for Climate Action Through Data-Driven Planning & Capacity Building
- iii. **Component 3**: National-Level Action on Institutional Strengthening, Climate Research, Knowledge Capitalisation and Capacity Building

Domestic Experts will support the SPVs/ULBs under the Component 1 (City level interventions) and Component 3 (National interventions) of the program.



















#### CITIIS 2.0 **NATIONAL** Up to 18 projects on Integrated Waste Management Program Management and Scaling-up Grant of INR 106 Crore to NIUA, Financial assistance of Financial assistance of INR 1496 Crore to SPVs INR 264 Crore to States through the European Union Per project funding of up to Grant for States Facilitate climate responsive and gender friendly urban practices in the National and Sub-National Grant for SPVs Set-up/strengthen State climate urban ecosystem Loan for Gol and States centers/ Climate cells Capacity Building and Scale-up of Create State and city level Climate Data Observatories Projects promoting Circular interventions across the country Interventions at all three levels; Integrated Waste Management Centre, State and City to further climate action in urban India Facilitate data-driven planning and implementation of climate action Build capacities of municipal functionaries

### 3 Coverage of the Program

The CITIIS grant amount for each project under Component 1 will be limited to 80% of the total project cost, up to INR 135 Crore (90% of the total project cost, in the case of North-Eastern and Hill States). The additional funds, i.e., 20% of the total project cost will be mobilised by the selected city through own source funding by the State/ Local Governments (10% of the total project cost, in case of North-Eastern and Hill States).

# Following 18 cities have been selected by MoHUA under CITIIS 2.0 through challenge process -

- 1. Agartala
- 2. Agra
- 3. Bareilly
- 4. Belagavi
- 5. Bilaspur
- 6. Guwahati
- 7. Jabalpur
- 8. Jaipur
- 9. Madurai
- 10. Muzaffarpur
- 11. New Town Kolkata
- 12. Panaji
- 13. Rajkot
- 14. Srinagar
- 15. Thanjavur
- 16. Thiruvananthapuram
- 17. Udaipur
- 18. Ujjain

















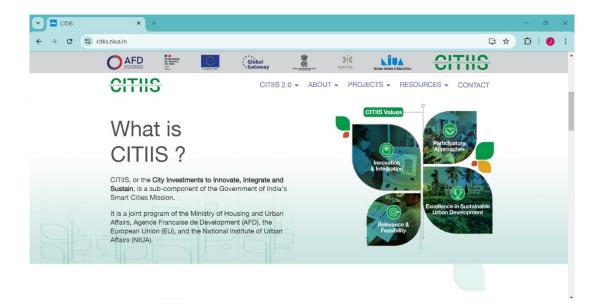


# Each project will now go through 2 phases – Maturation Phase and Implementation Phase.

1.1 To support the CITIIS program, two core digital platforms were developed: the CITIIS website for external users and wide dissemination; and the CITIIS Management Platform (hereinafter "CMP") for program stakeholders and project management. The brief details are as follows:

#### A. CITIIS Website

- 1.2 The CITIIS website (<a href="www.niua.org/citiis">www.niua.org/citiis</a>) has been developed on frontend React (Version 18.2.0) and backend Drupal (Version 9.4.9) platform, and is aligned with organisational and program requirements. The website is a key source of information, engagement, and enabling a diverse group of teams to learn from each other's experiences shared on the website. The website also focuses on establishing and maintaining the brand values and external outreach for the program.
- 1.3 The scope of the assignment include development, updation and maintenance of the CITIIS Website.











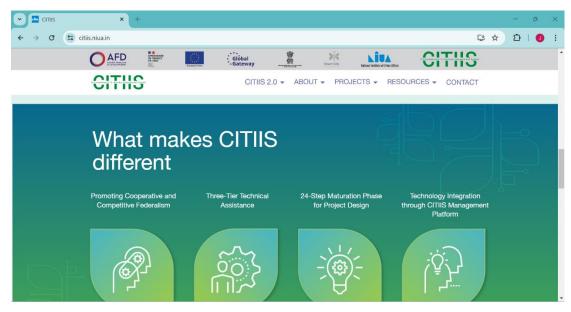












### **B.** CITIIS Management Platform (CMP)

- 1.4 CITIIS Management Platform (CMP) has been developed as a digital project management tool on frontend Angular (Version 8) and backend Node JS (Version 10.18.0) that allows users (projects, funders, program management unit of CITIIS, and other program partners) to plan, record, monitor and manage all project-level tasks and activities. The CMP would facilitate a common understanding of selected projects across stakeholders and enable functions of resource management, communication, reporting, monitoring and evaluation at both project and program level.
- 1.5 CMP is a role-based platform. All users are assigned pre-set roles based on which their access to the platform is defined. Each module of the platform is controlled by a set of permissions and notifications, and enabling of these permissions allows users to access functionality of the platform.
- 1.6 CMP is intended to encapsulate a common understanding of projects across stakeholders and enable management of resources, communication, and reporting.
- 1.7 The scope of the assignment include development and updation of existing modules, development of new modules as and when required. The detailed scope of work will be provided at the RFP stage.









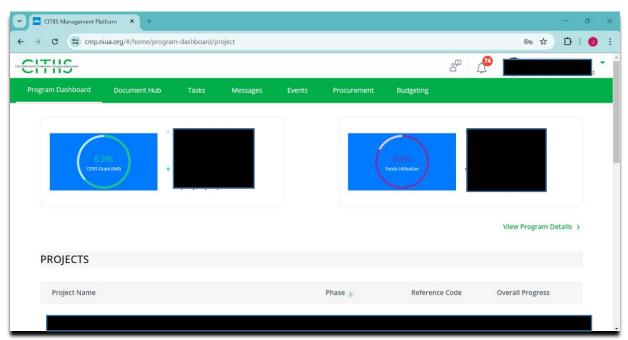


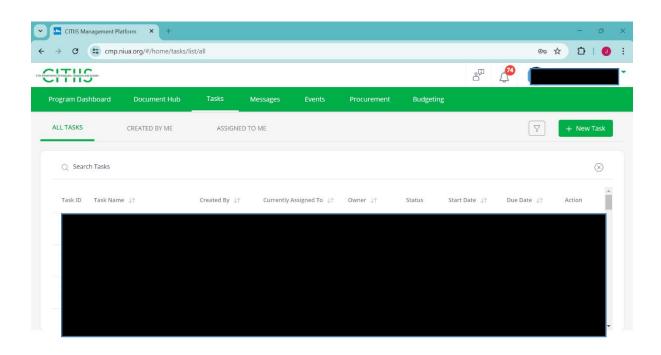




















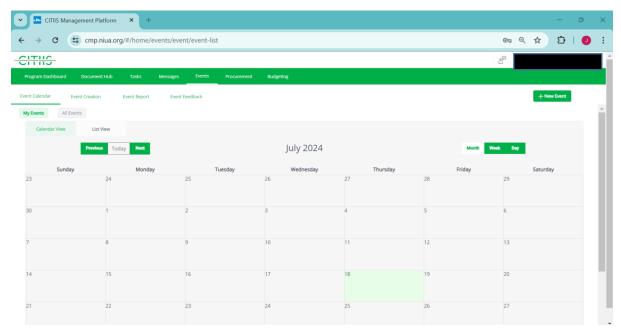






























## **Technical Proposal**

## **Evaluation and Qualification Criteria and Standard Forms**

- i. The Consultant should be a Public Ltd/Pvt Ltd/NGO/Society/LLP /Proprietorship and must be a Firm/Company with proven track record and previous experience in carrying out similar assignments. The Firm/Company should be registered with Income Tax Authorities and GST Network. Copy of certificate of incorporation along with name change if any, copy of PAN Card and copy of GST Registration Certificate is required. Joint-Venture (JV) is not allowed.
- ii. On the last date of submission of the Proposal, the Consultant should not be blacklisted by Central Government/State Governments/Union Territories/PSUs in India for unsatisfactory past performance, corrupt, fraudulent or any other unethical business practices. The form for self certified undertaking is attached in Tech Forms.

A Consultant shall be shortlisted under the selection method based on **Quality Based Selection (QBS).** The minimum qualifying technical score will be 70 marks out of 100 marks. Non-compliant or inadequate technical proposals (i.e. scored below minimum technical score of 70) will be rejected. The proposals will be assigned a Technical Score based on following criteria:

S. No.	Description	Max Marks in Technical Score
		(100)
1	Understanding of the assignment	20
2	Past Experience of the Consultant in the similar	30
	nature of assignments i.e. the development and	
	maintenance of tech platforms, development of	
	websites and their operation and maintenance	
	amounting to at least 50 lakh during last 7 years	
	from the date of EOI submission –	
	i. Minimum 2 assignments – 20 marks	
	ii. More than 2 to 4 assignments – 25 marks	
	iii. More than 4 assignments – 30 marks	
	Supporting Documents required - Copy of	
	Contract / Work Order	
	and	



















	Completion Certificates from the Client or Self-	
	Certificate of Completed Assignment with	
	relevant details on the letter head of the firm.	
3	General profile qualification, experience and	10
	number of key staff (individual CVs are not	
	required).	
4	Overall financial strength of the Consultant in	35
	terms of turnover for previous 3 years (FY 2020-	
	21, FY 2021-22, FY 2022-23).	
	• Average annual turnover of Rs. 2 crore to	
	Rs. 5 crore – 25 mark	
	• Average annual turnover of Rs. 5 crore to	
	Rs 10 crore – 30 marks	
	• Average annual Turnover of more than Rs.	
	10 crore – 35 marks	
	Audited Financial Statements, P/L statement and	
	positive net worth certificate required as the	
	supporting documents.	
5	Professional affiliations and Quality Assurance	5
	Certifications, if any.	
	TOTAL TECHNICAL PROPOSAL SCORE	100

Only shortlisted bidders will be issued RFP for further bidding process.



















## **Tech Form 1 - Technical Proposal Submission Form**

(on the letter head of the company specifying his name and address)

Location	
Date	

To:

#### The Director,

National Institute of Urban Affairs Core 4B, 01st Floor, India Habitat Centre Lodhi Road, New Delhi – 110003

Subject: CONSULTANCY SERVICES FOR: HIRING OF TECHNOLOGY AGENCY FOR THE CITIIS 2.0 PROGRAM

Dear Sir,

I, the undersigned, offer to provide the Services for *CONSULTANCY SERVICES FOR: HIRING OF TECHNOLOGY AGENCY FOR THE CITIIS 2.0 PROGRAM* in accordance with your EOI dated [XXXX] and our Proposal. I am hereby submitting my Technical Proposal.

I, hereby, declare that:

- a) All the information and statements made in this Proposal are true and I accept that any misrepresentation contained in this Proposal may lead to the rejection of my Proposal by the Client:
- b) My Proposal shall be valid and remain binding upon us for the period of 90 days;
- c) My Proposal is binding upon us and subject to any modifications resulting from the Contract negotiations.

I acknowledge and agree that the Client reserves the right to annul the selection process and reject all Proposals at any time prior to Contract award, without thereby incurring any liability to me.

### Enclosed -

- i. Power of Attroney
- ii. Covenant of Integrity on the letter head of the company and signed with stamp on each page.

I remain,	
Yours sincerely,	
Name of the Consultant:	



















Signature of the Consultant:	
Address:	



Notarised

















## Annexure-I

## Sample Format of Power of Attorney (POA)

Know all men by these presents, we, (name of Firm and address of
the registered office) do hereby constitute, nominate, appoint and authorise $Mr$ /
Ms son/daughter/wife of and presently residing at
, who is presently employed with us and holding the position of
as our true and lawful attorney (hereinafter referred to as the "authorized
Representative") to do in our name and on our behalf, all such acts, deeds and things as are
necessary or required in connection with or incidental to submission of our Proposal fo
XXXXXX, proposed to be developed by the (the "Client")
including but not limited to signing and submission of all applications, proposals and other
documents and writings, participating in pre-bid and other conferences and providing
information/ responses to the Client, representing us in all matters before the Client, signing
and execution of all contracts and undertakings consequent to acceptance of our proposal and
generally dealing with the Client in all matters in connection with or relating to or arising out
of our Proposal for the said Project and/or upon award thereof to us till the entering into of the
Agreement with the Client.
AND, we do hereby agree to ratify and confirm all acts, deeds and things lawfully done or
caused to be done by our said authorized Representative pursuant to and in exercise of the
powers conferred by this Power of Attorney and that all acts, deeds and things done by our said
authorized Representative in exercise of the powers hereby conferred shall and shall always be
deemed to have been done by us.
IN WITNESS WHEDEOE WE THE ADOVE NAMED DRINGINAL
IN WITNESS WHEREOF WE,
HAVE EXECUTED THIS POWER OF ATTORNEY ON THIS
OF, 20
For
(Signature, name, designation and address)
Witnesses:
1.
2.
<del></del>



















Accepted	
(Signature, name, designation and	address of the Attorney)

#### Notes:

- The mode of execution of the Power of Attorney should be in accordance with the procedure, if any, laid down by the applicable law and the charter documents of the executant(s) and when it is so required the same should be under common seal affixed in accordance with the required procedure. The Power of Attorney should be executed on a non-judicial stamp paper of Rs. 100 (hundred) and duly notarised by a notary public.
- Wherever required, the Bidder should submit for verification the extract of the charter documents and other documents such as a resolution/power of attorney in favor of the person executing this Power of Attorney for the delegation of power hereunder on behalf of the Bidder.
- For a Power of Attorney executed and issued overseas, the document will also have to be legalized by the Indian Embassy and notarised in the jurisdiction where the Power of Attorney is being issued. However, Bidders from countries that have signed the Hague Legislation Convention 1961 need not get their Power of Attorney legalized by the Indian Embassy if it carries a conforming Apostille certificate.



















#### Annexure -2

#### **COVENANT OF INTEGRITY**

I [Name], in my function as [function/position held] in [name of the company] and duly authorized representative for the signature of the contract to be signed for [title of the call for tender or the contract] hereby declare that we observe the highest standards of ethics during the procurement and, if we are successful in our tender, during the performance of the contract. We have not engaged nor will engage in, or have been convicted or sanctioned by any authority of, any fraud, corruption, collusion, coercion, obstruction, money laundering, financing of terrorism or other malpractice (hereafter referred to as "Prohibited Conduct"). Where convictions occurred, details of the conviction and remedial measures are provided. If such events should occur in the future, including if any instance of Prohibited Conduct shall come to our attention, we hereby undertake to so inform [Promoter and AFD/EIB/KfW (whoever is MRI Lead Financier)] immediately.

Moreover, neither our company<sup>2</sup> nor any entity member of the joint venture or of the consortium or any one acting on our behalf, such as sub-contractors, is the subject of a current exclusion /has been the subject of any debarment, exclusion or other sanctioning decision by any of the Participating MRI Partners (AFD, EIB and/or KfW), EU institutions and/or any major Multi-lateral Development Bank (including World Bank Group, African Development Bank, Asian Development Bank, European Bank for Reconstruction and Development or Inter-American Development Bank) on the grounds of Prohibited Conduct and we further undertake to immediately inform [Promoter and AFD/EIB/KfW (whoever is MRI Lead Financier)] if this situation was to occur at a later stage. Where such a decision of exclusion has occurred, we commit to provide all necessary information and any remedial measures that have already been taken.

I also declare that neither our company nor any entity member of the joint venture or of the consortium is currently included /has been included on the list of financial sanctions (including in particular the fight against financing of terrorism) adopted by the United Nations, the EU financial or any national sanction<sup>3</sup> list which is binding for any of the MRI Partners and we further undertake to immediately inform [Promoter and AFD] if this situation were to occur at a later stage.

We acknowledge that in the event our company or any entity member of the joint venture or of the consortium (i) is, after this Covenant of Integrity or the contract have been signed, added

For KfW, please see "Guidelines for the Procurement of Consulting Services, Works, Plant, Goods and Non-Consulting Services in Financial Cooperation with Partner Countries" and FATF Recommendations;

For AFD, please see "AFD Group's policy to prevent and combat corruption, fraud, anti-competitive practices, money laundering and terrorist financing" and Guidelines for the procurement of AFD financed contracts in foreign countries".

<sup>&</sup>lt;sup>2</sup> For the purposes of these provisions "company" or "entity" shall include directors, employees, agents.

i.e. French sanction list for AFD, German sanction list for KfW.



















to any financial sanctions list enumerated above or (ii) is, before the contract has been signed, subject to any debarment, exclusion or otherwise sanctioning decision enumerated above or (iii) made a false statement in the Covenant of Integrity, the contract may not be eligible for funding by one or more of the Participating MRI Partners (AFD, KfW). We commit not to procure goods and services from countries which are under an embargo which is binding upon AFD and KfW.

We also acknowledge that, in any of the afore-mentioned events, [Promoter] is entitled to exclude our company/the consortium from the procurement procedure and, if the contract is awarded to our company/the consortium, we undertake to take all necessary remedial actions in a manner satisfactory to [Promoter]. Such events may lead to termination of the contract, in accordance with the terms of the contract.

We declare that we have paid, or will pay, the following commissions, gratuities, or fees with respect to the Tendering process or execution of the Contract:<sup>4</sup>

Name of Recipient	Address	Reason	Amount

We grant the Participating MRI Partners and auditors appointed by either of them, as well as any authority or European Union institution or body having competence under European Union law, the right to inspect and copy our books and records and those of all our sub-contractors, under the contract, as well as joint venture/consortium partners. We accept to preserve these books and records generally in accordance with applicable law but in any case, for at least six years from the date of tender submission and, in the event, we are awarded the contract, at least six years from the date of substantial performance of the contract.

I declare that our company / consortium undertakes to comply with labour laws and national and international standards of environmental protection, health and safety applicable in the

-

<sup>&</sup>lt;sup>4</sup> If none has been paid or is to be paid, indicate "none".



(Place)















(Signature)



jurisdiction / country of implementation of the Project, including those contained in any
relevant International Labour Organization (ILO) conventions and international agreements on
environmental protection, as well as with mitigation measures of the Environmental and Social
Management Plan if applicable.

(Date)



Address:

















# Tech Form 2 - Declaration Regarding Blacklisting/ Non-Blacklisting From Taking Part in Govt. Tender

(To be executed on Rs.20/- Stamp paper & attested by Public Notary/Executive Magistrate by the bidder)
I / We Proprietor/ Partner(s)/ Director(s) of M/s hereby
declare that the firm/company namely M/s
has not been blacklisted or debarred in the past by any other Government
organization from taking part in Government tenders.
Or
I / We Proprietor/ Partner(s)/ Director(s) of M/s hereby
declare that the firm/company namely M/s
was blacklisted or debarred by any other Government Department from taking
part in Government tenders for a period of years w.e.f The period is
over onand now the firm/company is entitled to take part in Government tenders.
In case the above information found false I/We are fully aware that the tender/ contract will
be rejected/cancelled.
Dated this
Yours sincerely,
Name of the Consultant:
Signature of the Consultant:



















# **Tech Form 3 - Bidder Information Form**

a	Name of Bidder with full address	
b	Tel. No.	
С	Fax No.	
d	Email	
e	Year of Incorporation.	Proof of registration of the Bidder to be submitted
f	Name and address of the person holding the Power of Attorney.	
g	(i) Place of Business.	
	(ii) Date of Registration.	
h	Name of Bankers with full address.	
i	Regional presence (Direct office)	The location details to be provided



















j	GST Registration Number	Copy to be submitted.
k	Are you presently debarred / Blacklisted by any Central/ State Government Department / Union Territory (If Yes, please furnished details)	
1	Name and details (Tel / Mobile / Email) of contact persons	



















## **Tech Form 4 - Work Experience**

Previous work experience of similar assignments successfully completed with government departments and international agencies shall be preferred. The format for submission of previous SIMILAR assignments successfully completed in the last 7 years is given below:

Duration	Brief description of Previous Assignment s	Brief description of main component s / outputs	Name of Client and location of Assignmen t	Approx. Contrac t value (in INR)/ Amount paid to your firm	Competencie s handled in particular project
{e.g., Jan.2009 - Apr.2010 }	{e.g., Developmen t and/or design of Program management platform/too l, etc)		{e.g., Ministry of, country}		

(Place) (Date) (Signature)



















# **Tech Form 5 - Profile Of Experts**

Bidders are requested to fill up the number of experts available for each of the Core and Additional Expertise as per the below format. In case of JV, indicate the name of the parent organization of the Expert

## General profile qualification, experience of experts -

S. No.	Name	Qualification	Brief Experience (Not more than
			500 words)



















## **Tech Form 6 - Average Annual Turnover**

Details to be furnished duly certified by the Chartered Accountant.

Consultant	(Name of Consultant)				
FY	2020-21	2021-22	2022-2023	Total	Average Annual Financial Turnover
Gross Annual Turnover					
*CA Certified Balance certificate of last 3 Financial Years with UDIN number.					
Signature of Bidder For (Name of Accounting Firm)				ounting Firm)	
Date and Place Name and signature			ature of Charter	re of Chartered Accountant	
Membership Number					
(with Seal and UDIN No)					

<sup>\*</sup> The Consultant should provide the Financial Capability based on its own financial statements. Financial Capability of the Consultant's parent company or its subsidiary or any associate company will not be considered for computation of the Financial Capability of the Consultant.



















# **Tech Form 7 – Certificate of Net worth**

## FORM FOR CERTIFICATE OF NET WORTH FROM CHARTERED ACCOUNTANT

Consultant	(Name of Consultant)			
FY	2020-21	2021-22	2022-2023	
Net worth				
Signature of Bide	der	For (Name of Accounting Firm)		
Date and Place		Name and signature of Chartered Accountant		
			Membership Number	
			(with Seal and UDIN No)	